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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: John F. Engelhardt et al.  
Serial No.: 09/684,554  
Filed: October 6, 2000  
Title: ADENO-ASSOCIATED VIRUS VECTORS AND USES THEREOF

Examiner: Unknown  
Group Art Unit: 1635  
Docket: 875.024US1

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants further request that a copy of the 1449 form, initialled by the Examiner to indicate that all listed citations have been considered, be returned with the next official communication.

Under 37 C.F.R. § 1.97(b)(3), it is believed that no fee or certificate is required with this Information Disclosure Statement. However, if an Office Action on the merits has been mailed, the Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Account No. 19-0743.

The Examiner is invited to contact the Applicants' Representatives at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,

JOHN F. ENGELHARDT ET AL.

By their Representatives,

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Date May 22, 2001

By Janet E. Embretson  
Janet E. Embretson  
Reg. No. 39,665

**CERTIFICATE UNDER 37 CFR 1.8:** The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231, on this 22 day of May, 2001. Alisia G. Dunbar

Name \_\_\_\_\_

Signature Alisia G. Dunbar